

Bereskin & Parr

#4

Barristers and Solicitors/Patent and Trade Mark Agents
Practice Restricted to Intellectual Property Law

March 5, 2002

Philip C. Mendes da Costa
B.Sc. (Chem. Eng.), LL.B.
416 957 1695 pmcdcosta@bereskinparr.com

Your Reference: 09/970,085
Our Reference: 5562-800

The Commissioner of Patents
and Trademarks
Washington, D.C.
20231 U.S.A.

- Attention: Customer Service Center -

Dear Sir:

Re: United States Patent Application No. 09/970,085
For: VACUUM CLEANER UTILIZING ELECTROSTATIC FILTRATION AND ...
Applicant: Wayne Ernest Conrad et al
Filed: October 4, 2001

The Applicant attaches hereto a copy of the filing receipt that was issued for this application together with a copy of the Declaration as filed.

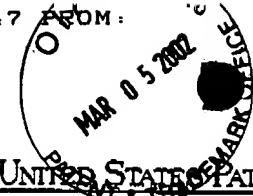
As set out in the Declaration as filed, the three inventors of this application are Wayne Ernest Conrad, Tony True and Dave Petersen. However, the applicants that are listed on the attached filing receipt are Wayne Ernest Conrad, Tony True and Helmet Gerhard Conrad. The Applicant attaches a revised filing receipt in which the inventor "Helmet Gerhard Conrad" has been amended to read "Dave Petersen".

Correction of the records at the Patent Office is respectfully requested.

Yours truly,


 Philip C. Mendes da Costa
 Reg'n No. 33,106

Encl.
 /pbf



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/970,085	10/04/2001	1744	0.00	5562-800	10	59	7

CONFIRMATION NO. 9520

CORRECTED FILING RECEIPT



OC000000007026490

Philip C. Mendes da Costa
 Bereskin & Parr
 40 King Street West
 Box 401
 Toronto, ON M5H 3Y2
 CANADA

Date Mailed: 11/05/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wayne Ernest Conrad, Hampton, CANADA;
 Helmut Gerhard Conrad, Hampton, CANADA; Dave Andrew Petersen, Courtice, CANADA
 Tony True, Oshawa, CANADA;

Domestic Priority data as claimed by applicant

Foreign Applications

CANADA 2,339,514 03/06/2001

If Required, Foreign Filing License Granted 11/05/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Vacuum cleaner utilizing electrostatic filtration and electrostatic precipitator for use therein

Preliminary Class

015

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related application(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).